

Attorney Docket No.: SONY-02400

<u>Patent</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	Art Unit:	3621
Deguchi)	Art Onit.	3021
Serial No.: 09/905,356)	Examiner:	Firmin Backe
Filed: July 13, 2001		
For: Vendor ID Tracking for E-MARKER)		

Commissioner for Patents PO Box 1450 Alexandria, VA 22313

TRANSMITTAL

Sir/Madam:

Please find enclosed a copy of form1449, credit card statement with fee of \$180, and a post card.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit overpayment to Deposit Account No: 50-1963. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Dated: January 31, 2005

Richard H. Butler

Registration No. 40,932

Please Send Correspondence to: Valley Oak Law 5655 Silver Creek Valley Road #106 San Jose, CA 95138 (408)223-9763 Richard H. Butler

01 Man 100 00 00

Approved for use through 07/31/2006 OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the <u>Paperwork Reduction Act of 1995</u>, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	09/905,356				
Filing Date	July 13, 2001				
First Named Inventor	Deguchi				
Art Unit	3621				
Examiner Name	Firmin Backer				
Attorney Docket Number	sony-02400				

			U. S. PATEN	F DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	 	Number-Kind Code ² (*!.rcar)		 	rigules Appeal
	<u> </u>	^{US-} 6,216,230	04-10-2001	Rallis et al	
		^{US-} 2002/0068991	06-06-2002	Fitsimmons	
		^{US-} 2003/027206	11-20-2003	Poo	
		^{US-} 2003/0174167	09-18-2003	Poo et al	
		^{US-} 2000/0097351	05-22-2003	Rothschild et al	-
		^{US-} 2003/0027634	02-05-2003	Matthews III	
		^{US-} 2002/0162009	10-31-2002	Shmueli et al	
		^{US-} 6,169,498	01-18-2001	King et al	
		^{US-} 2004/0203729	10-12-2004	Makipaa et al	
		^{US-} 2001/0020239	09-30-2001	Ukigawa	
		^{US-} 2002/0174372	11-12-2002	Burana Venkataraman	
		^{US-} 6,427,175	07-12-2002	Khan et al	
		^{US-} 6,493,702	12-12-2002	Adara et al	
		^{US-} 6,650,534	11-18-2003	Tree	
		US- 2002/0007354	01-17-2002	Deguchi	
		^{US-} 6,578,047	06-10-2003	Deguchi	
		^{US-} 6,148,261	11-14-2000	Obradovich et al	
		^{US-} 6,515,595	02-04-2003	Obradovich et al	
		^{US-} 3,836,842	09-17-1974	Zimmermann et al	

Examiner Cite Initials* No.1		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
	110.	Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Onco Boodinent	Or Relevant Figures Appear	Т
						L
	_					H
					-	T
						٢

Examiner	Date	
Signature	1	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2009 OMB 0851-031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	09/905,356				
Filing Date	July 13, 2001				
First Named Inventor	Deguchi	-			
Art Unit	3621				
Examiner Name	Firmin Backer	·			
Attorney Docket Number	sony-02400				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (***Frant**)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,353,398	03-05-2002	Amin et al	
		^{US-} 5,297,118	03-22-1994	Sakumoto	
		^{US-} 5,199,009	03-30-1993	Svast	
		^{US-} 6,571,577	06-03-2003	Radley-Smith	
		^{US-} 6,556,513	04-29-2003	Wimberly	
		^{US-} 6,323,783	11-27-2001	Lizzi	
		^{US-} 6,158,884	12-12-2000	Lebby et al	
		^{US-} 5,889,737	03-30-1999	Alameh et al	
		^{US-} 5,508,978	04-16-1996	Kalbermatter et al	
		^{US-} 6,392,635	05-12-2002	Snyder	
		^{US-} 6,290,517	09-18-2001	Anderson	
		^{US-} 6,304,249	10-29-2001	Derocher et al	
		^{US-} 6,088,021	07-01-2000	Young	
		^{US-} 6,144,864	11-15-2000	Lands et al	
		us- 5,850,218	12-15-1998	Lajoie et al	
		^{US-} 6,128,009	10-03-2000	Ohkura et al	
		^{US-} 6,289,346	11-11-2001	Milewski et al	
		^{US-} 6,356,971	03-12-2002	Katz et al	
		^{US-} 6,507,727	01-14-2003	Henrick	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Cite Foreign Patent Document		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	, pp. cank of once bosumon	Or Relevant Figures Appear	T
						L
						L
-	 					┝
	<u> </u>					┢

Examiner	Data	
	Date	
Signature	0	
Olgitaluic	Considered	
_		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE or the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Col	mplete if Known	
Application Number	09/905,356	
Filing Date	July 13, 2001	
First Named Inventor	Deguchi	······································
Art Unit	3621	
Examiner Name	Firmin Backer	
Attorney Docket Number	sony-02400	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (Tknowr)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,680,714	01-20-2004	Wilmore	
		^{US-} 6,199,125	03-06-2001	Cortesi	
		^{US-} 6,104,334	08-15-2000	Allport	
		^{US-} 6,559,773	05-06-2003	Berry	
		^{US-} 5,579,472	11-26-1996	Keyworth II et al	
		^{US-} 5,915,288	06-22-1999	Gabriel	
		^{US-} 2004/0171377	09-10-2004	Engstrom	
		^{US-} 2002/0032019	03-01-2002	Marks et al	
		^{US-} 2003/0005433	01-02-2003	Janik et al	
		^{US-} 2002/0164973	11-07-2002	Janik et al	
		^{US-} 2003/00293421	01-30-2003	Finn et al	
		^{US-} 5,758,257	05-26-1998	Herz et al	
		^{US-} 6,330,490	12-11-2001	Kin et al	
		^{US-} 6,100,884	08-08-2000	Tomita et al	All
		US- 2001/0034219	10/25/2001	Hewitt et al	
		^{US-} 2002/0056084	05-09-2002	Harris et al	
		^{US-} 6,360,209	03-10-2002	Loeb et al	
		^{US-} 2001/0051996	12-02-2001	Cooper et al	
		^{US-} 2001/0040977	11-05-2002	Nakano	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Cite Fore	Foreign Patent Document	preign Patent Document Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	Approant of Oited Bodilleit	Or Relevant Figures Appear	T ^c
	<u> </u>					<u>_</u>
						_
						┡

Examiner	Date	
0:	Date	
Signature	Considered	
· I	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Peperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/905,356	-	
Filing Date	July 13, 2001		
First Named Inventor	Deguchi		
Art Unit	3621		
Examiner Name	Firmin Backer		
Attorney Docket Number	sony-02400		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (Ikraur)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,263,438	07-15-2001	Walker et al	
		^{US-} 6,272,545	08/28/2001	Flanagin et al	
		^{US-} 6,637,028	10-10-2003	Voyticky et al	
		^{US-} 6,650,877	11-18-2003	Tarbouriech et al	
		^{US-} 6,674,993	01-08-2004	Tarbouriech	
		^{US-} 6,763,371	07-22-2004	Jandel	
		^{US-} 2002/0174431	11-10-2002	Bowman	
		^{US-} 2002/0120850	08-22-2002	Walker et al	
		^{US-} 2002/0032698	03-15-2002	Cox	
		US- 6,230, 172	05-08-2001	Purnaveja etal	
<u> </u>		US-		•	
		US-			
		US-			
		US-			
	<u> </u>	US-			
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number ⁴ " "Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	<u> </u>					
						\vdash

Examiner		Date	
Signature		Considered	
U.g	i	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.